

(12) UK Patent Application (19) GB (11) 2 384 666 (13) A

(43) Date of A Publication 30.07.2003

(21) Application No 0201738.2

(22) Date of Filing 25.01.2002

(71) Applicant(s)

Cambridge Broadband Ltd  
(Incorporated in the United Kingdom)  
Merlin Place, Milton Road, CAMBRIDGE,  
CB4 0DP, United Kingdom

(72) Inventor(s)

Stephen David Greaves  
John David Porter  
Malcolm Paul Sellars

(74) Agent and/or Address for Service

Reddie & Grose  
16 Theobalds Road, LONDON, WC1X 8PL,  
United Kingdom

(51) INT CL<sup>7</sup>

H04L 25/03 25/02

(52) UK CL (Edition V)

H4P PRE

(56) Documents Cited

GB 2378103 A

GB 2373420 A

GB 2347054 A

IEEE Transactions on Consumer Electronics, Vol 45,  
Issue 4, PP1169-1175, November 1999, Sellars et al.,  
"Stabilized precoder with antenna diversity for  
wireless LANs"

(58) Field of Search

UK CL (Edition V) H4P

INT CL<sup>7</sup> H04L

Other: Online: WPI, EPODOC, PAJ, INSPEC and  
selected internet sites

(54) Abstract Title

Method and apparatus for predistorting data

(57) In a fixed wireless access (FWA) communications system comprising an Access Point (501) and a plurality of Subscriber Units (502-1, 502-2...502-N) each Subscriber Unit transmits a contention word when it wishes to obtain access to transmit data. Each Subscriber Unit (502) includes a linear precoder (517) which predistorts the contention word to compensate for the impulse response of the transmission channel between it and the Access Point (501). The precoder (517) is optimised specifically for the contention word to be transmitted instead of for general data. The precoder may comprise a memory in which a predistorted version of the contention word is stored. 520 (Fig.14)

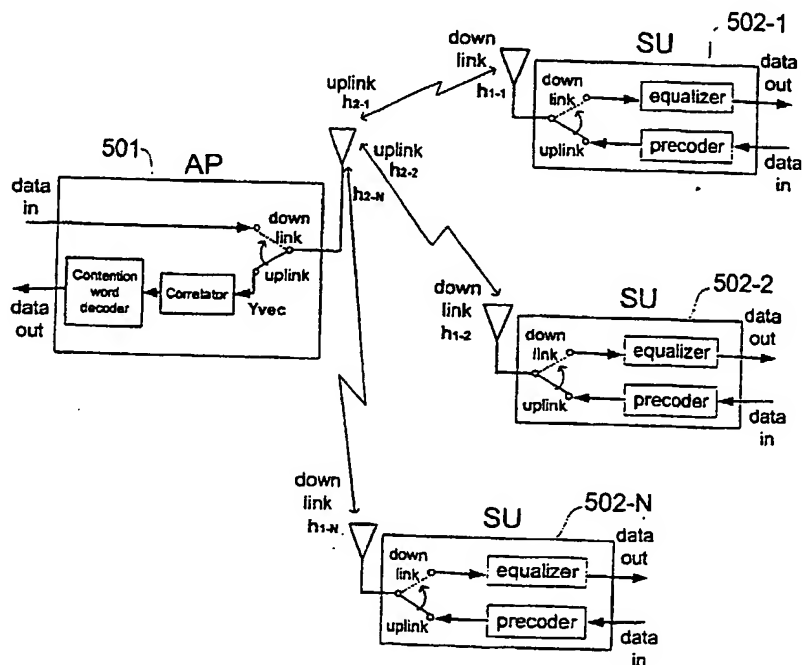
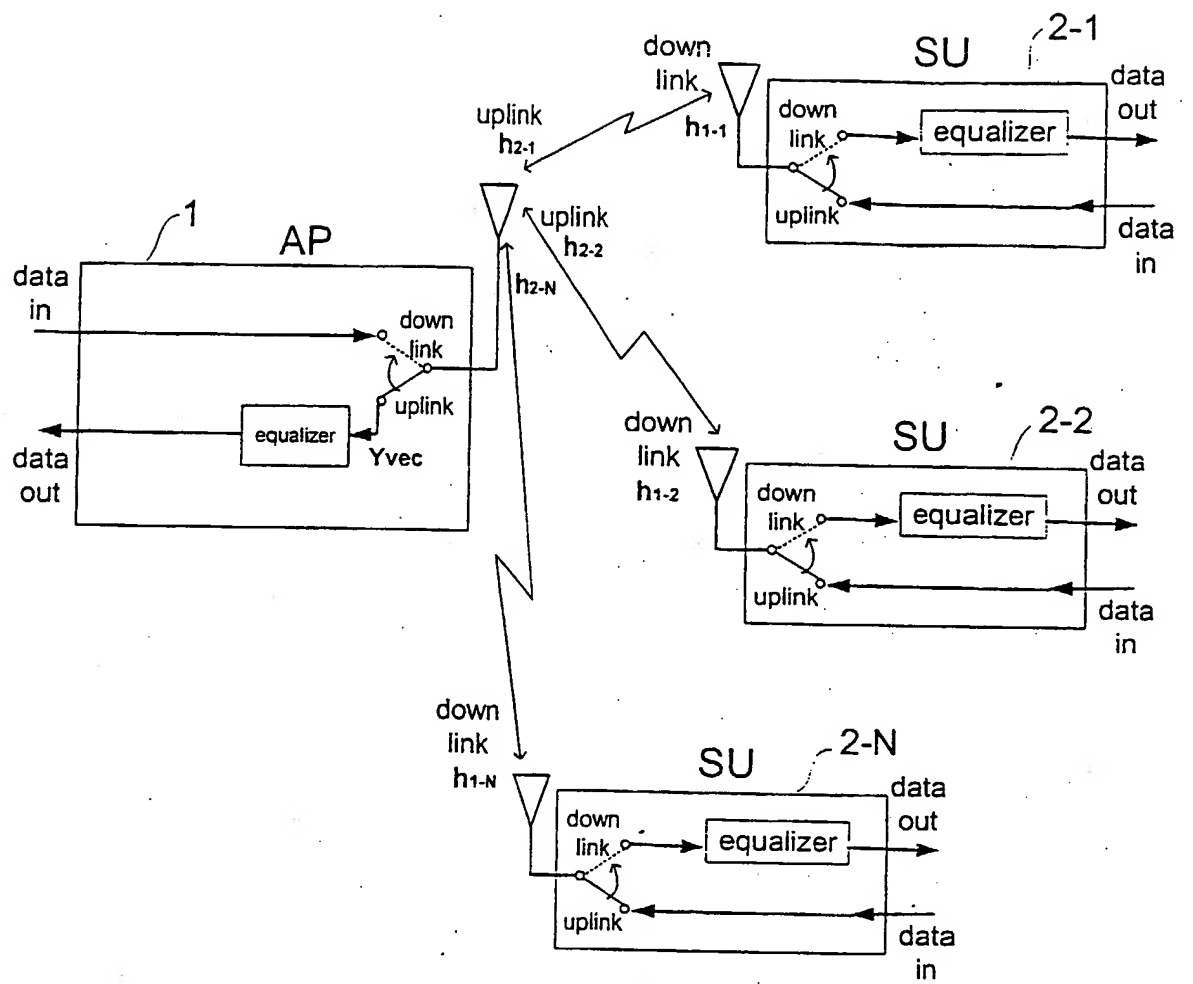


Figure 2

GB 2 384 666 A



Wireless communications network  
(PRIOR ART)

Figure 1

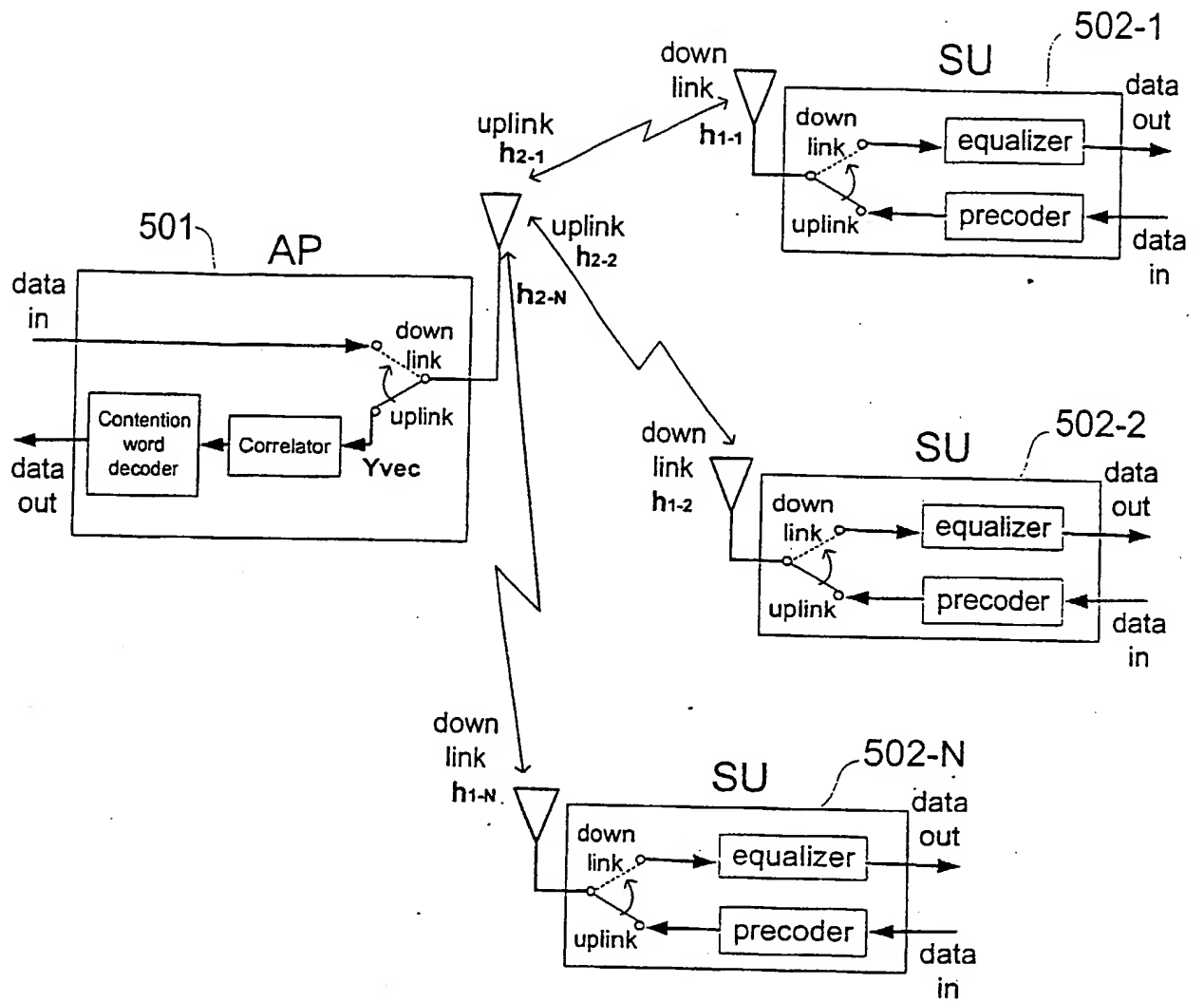


Figure 2

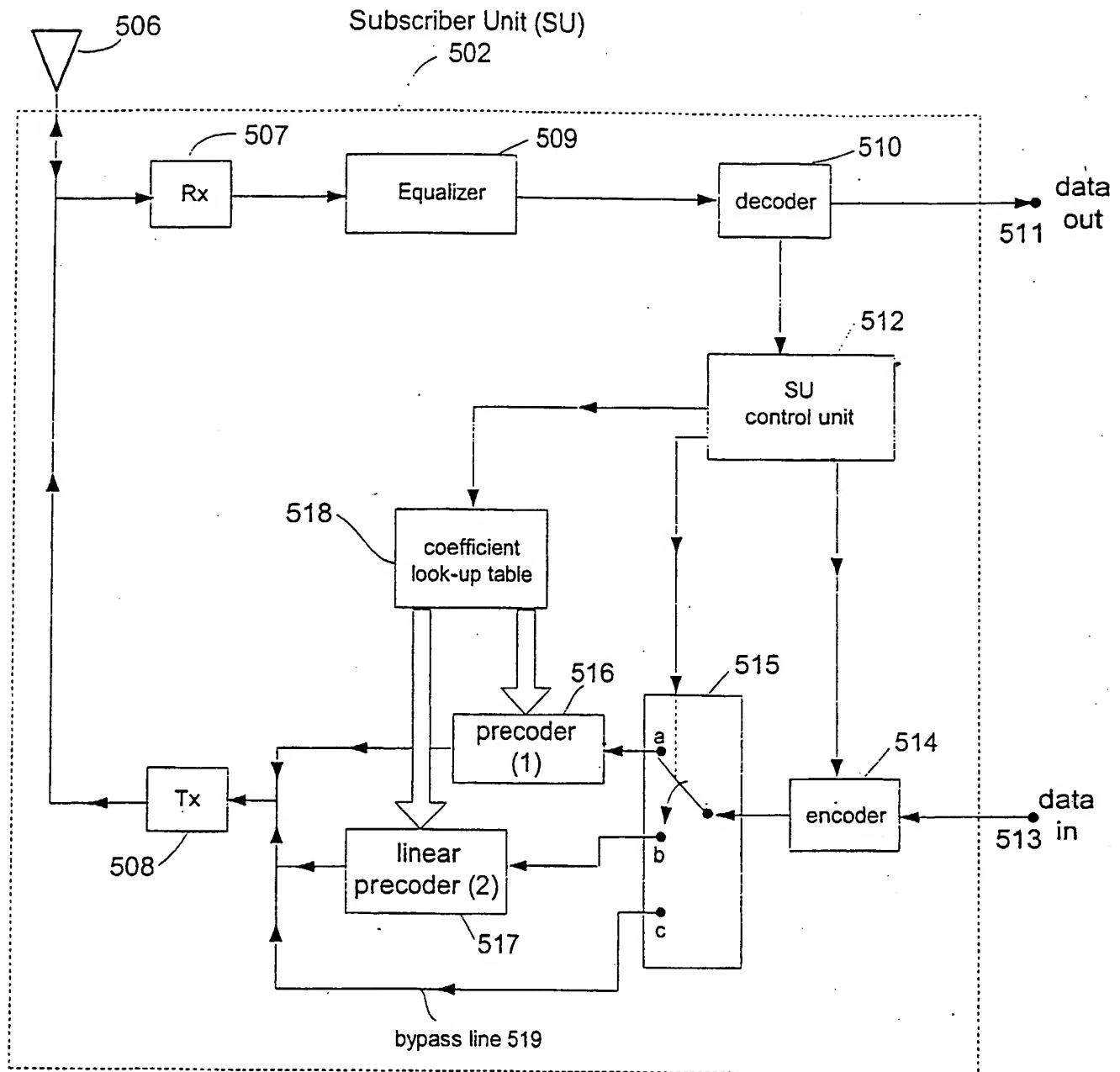


Figure 3

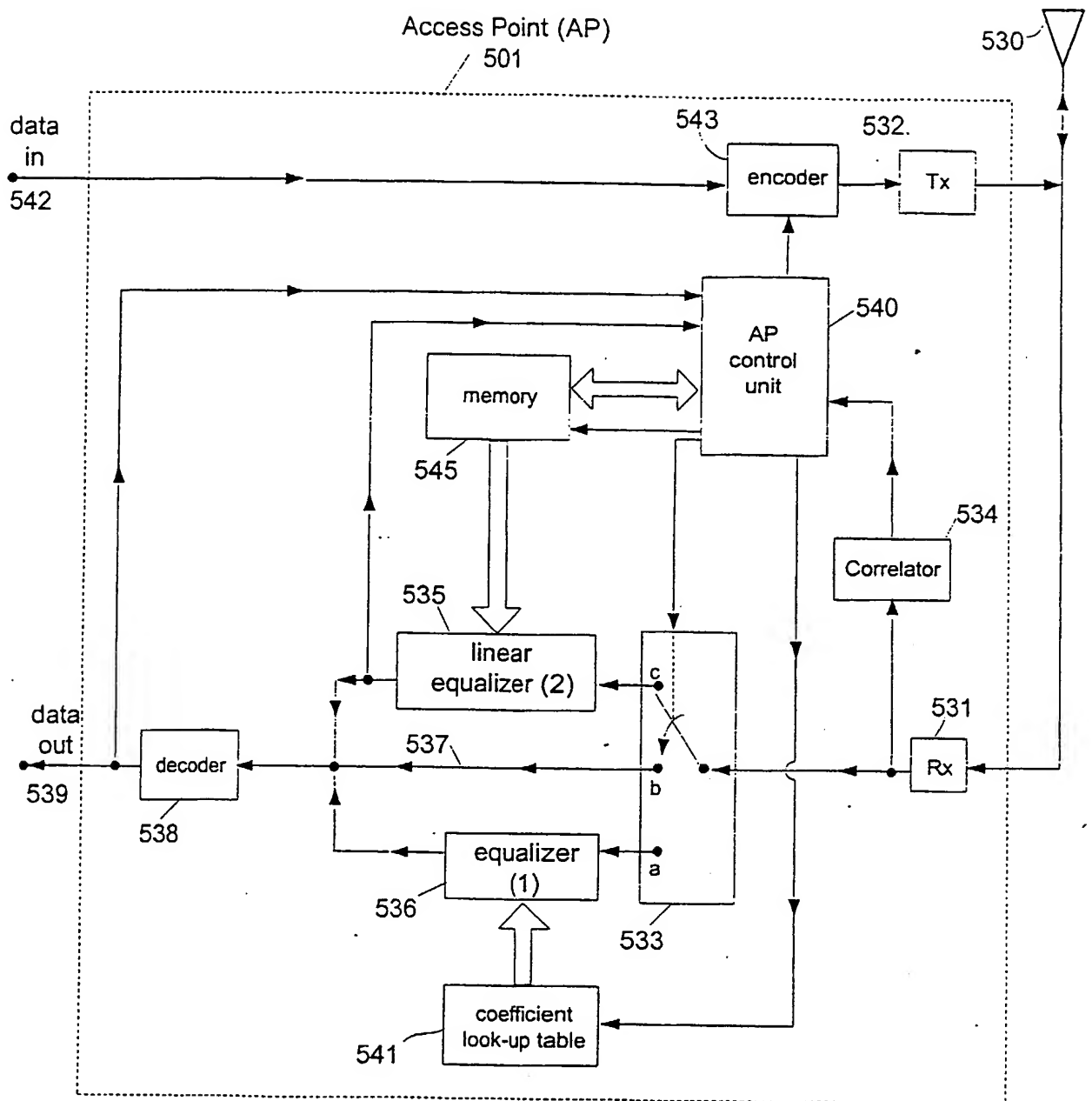


Figure 4

## linear precoder

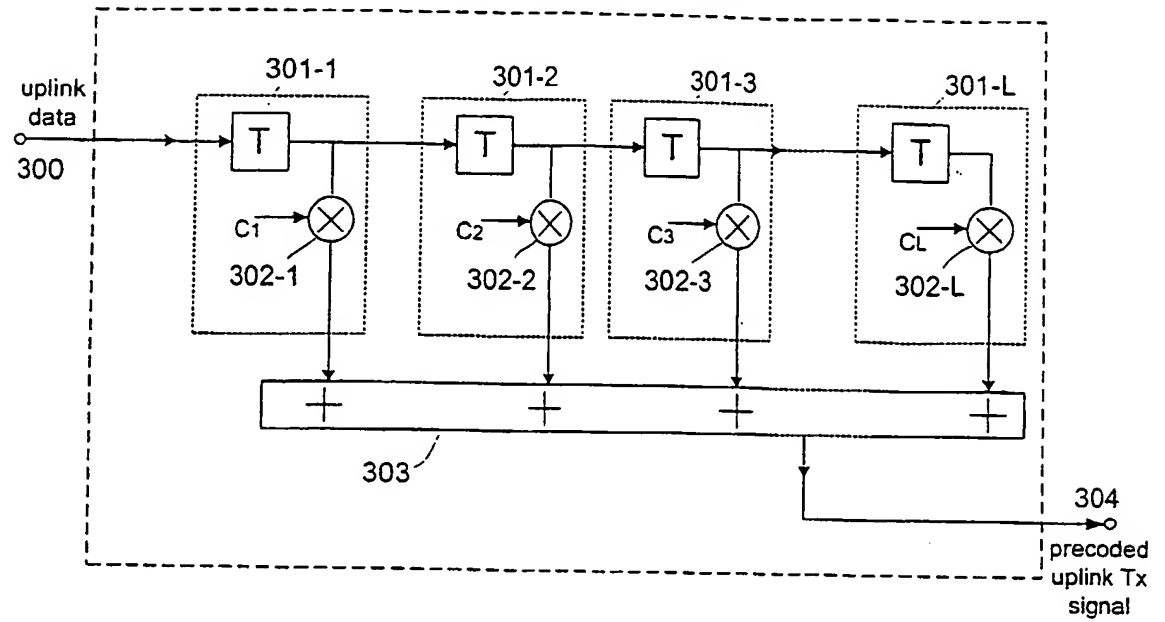


Figure 5

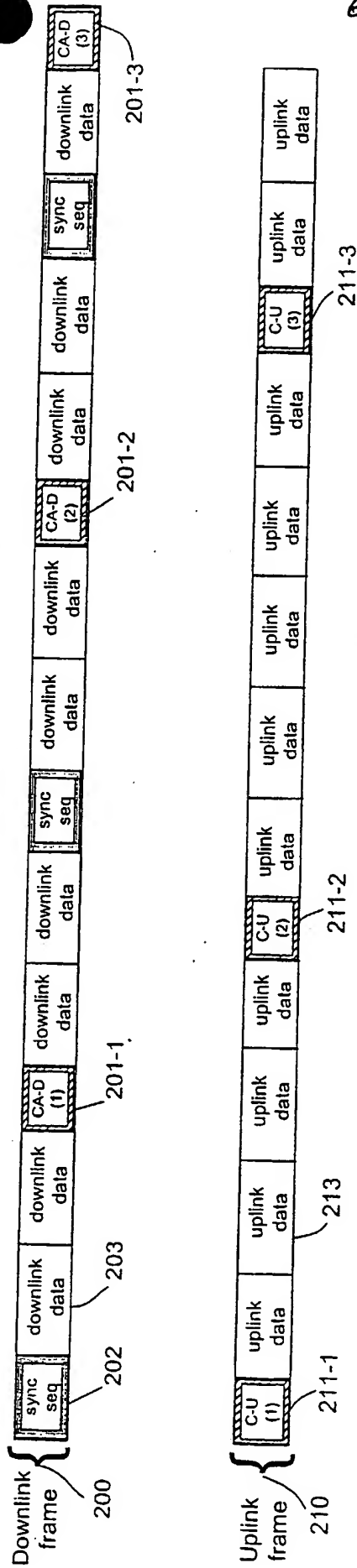


Figure 6

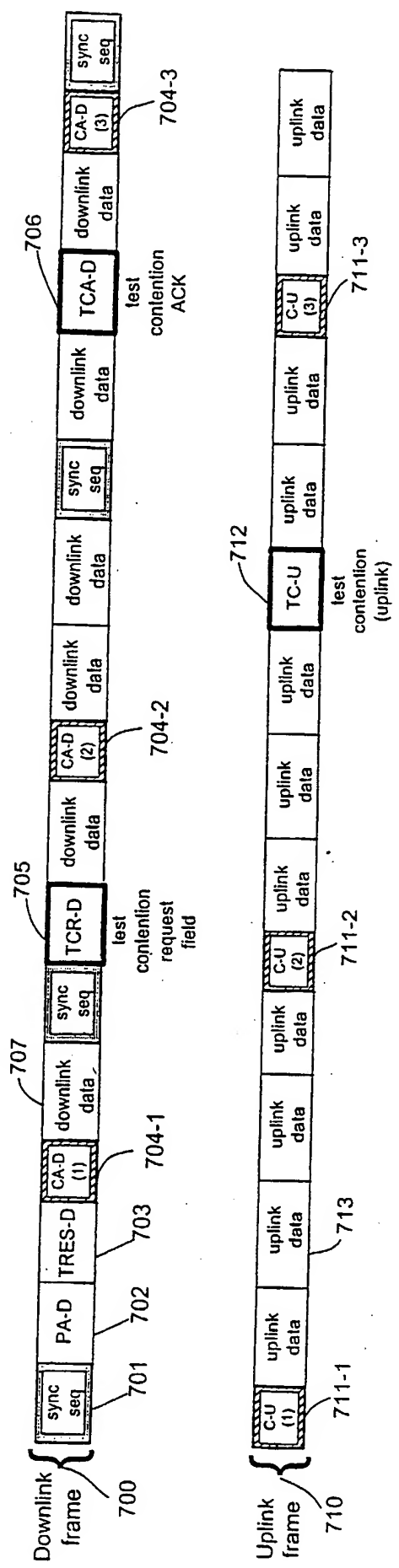


Figure 7



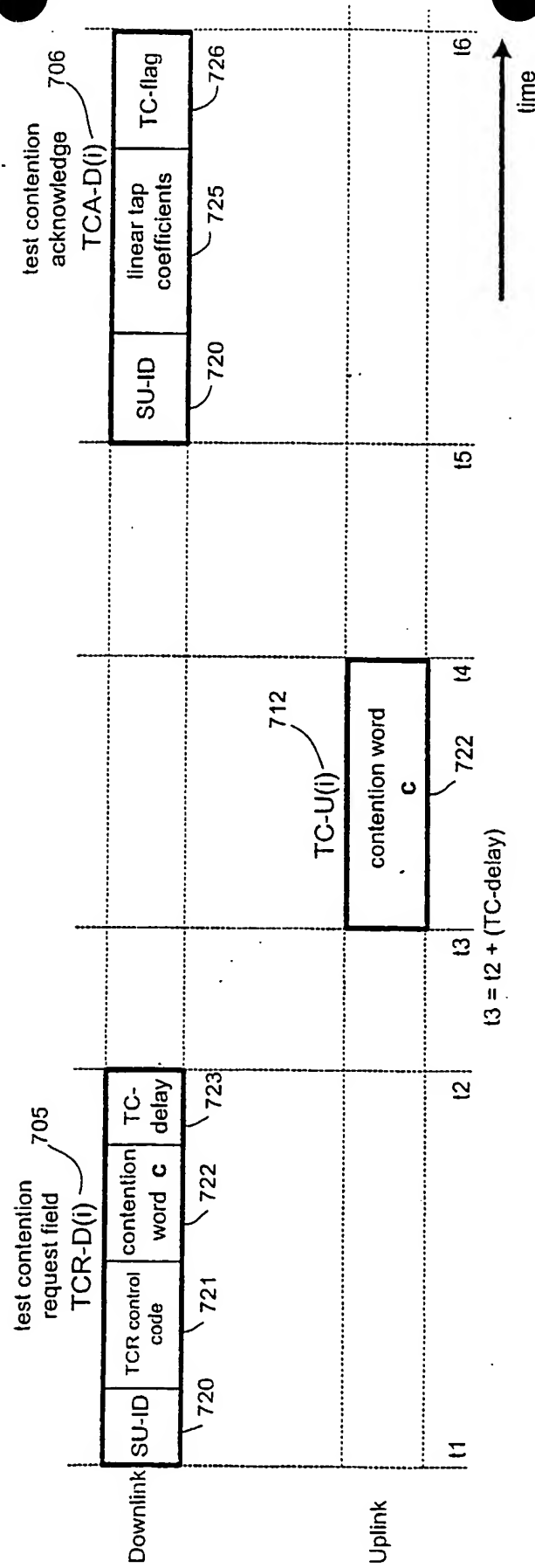


Figure 8

9/12

## Setting-up the SU Contention Word

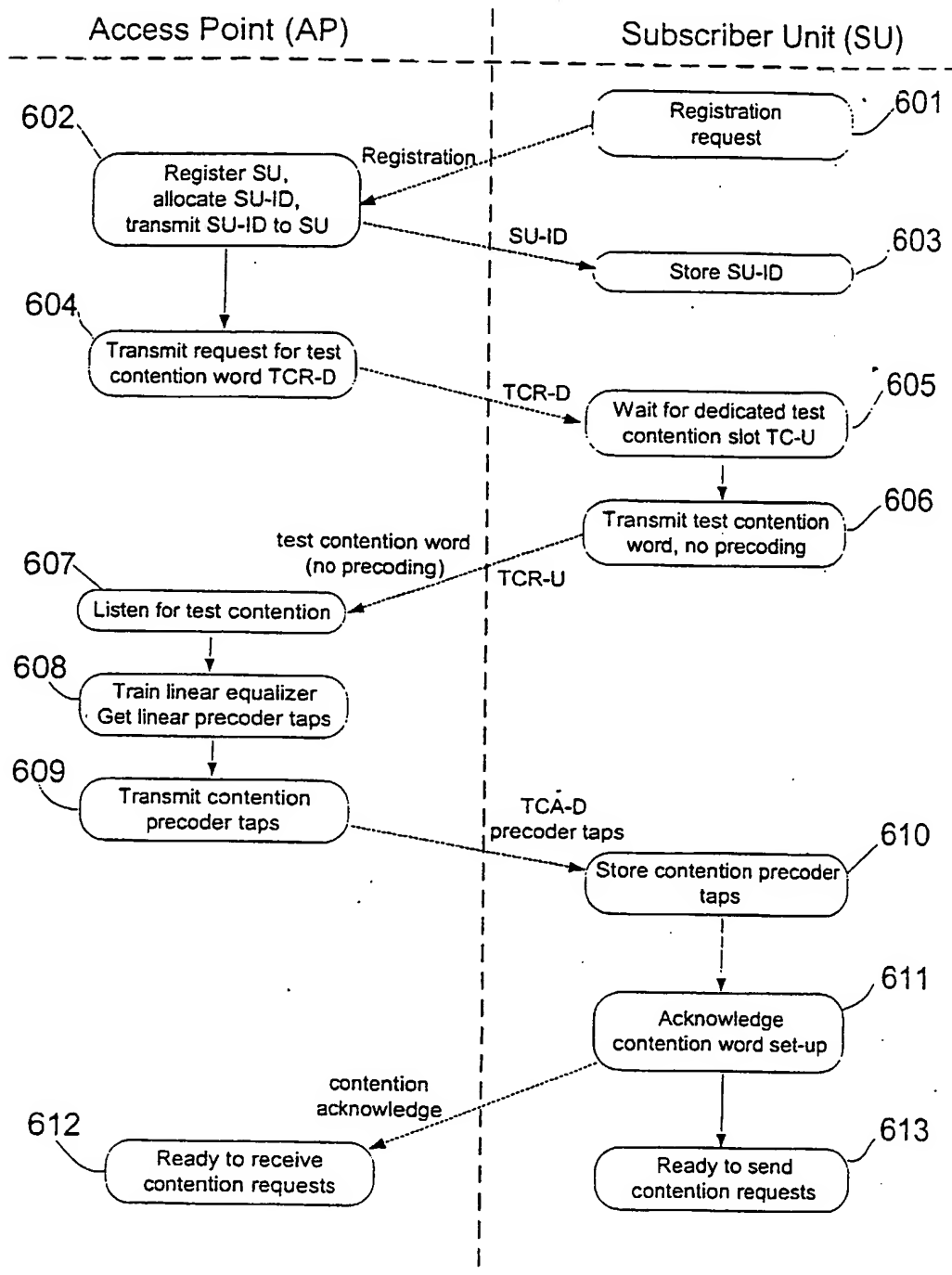


Figure 9

10/12

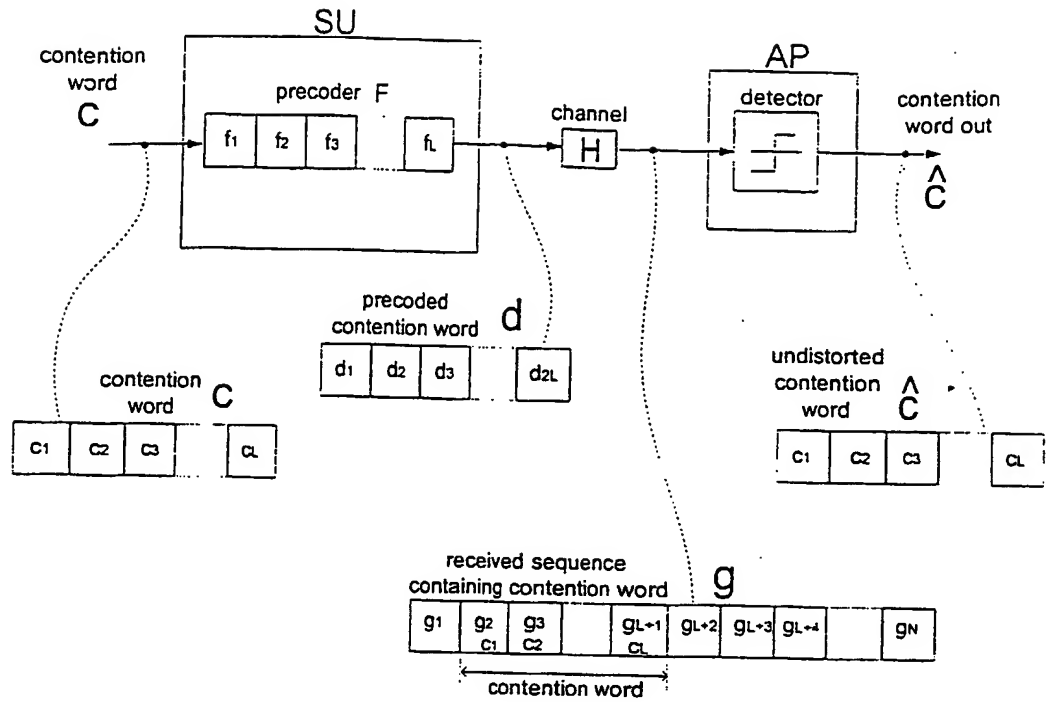


Figure 10

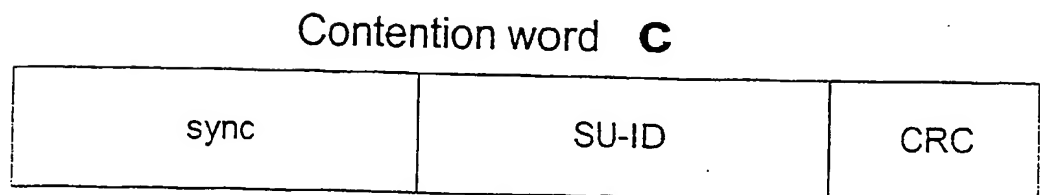


Figure 11

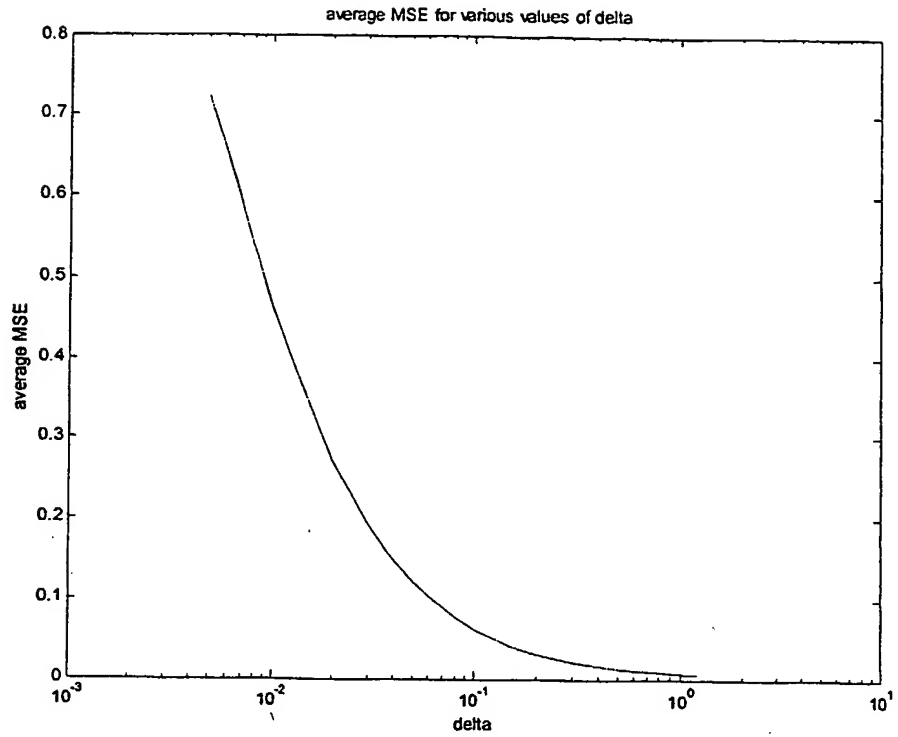


Figure 12 : Average MSE for various values of delta ( $\delta$ )

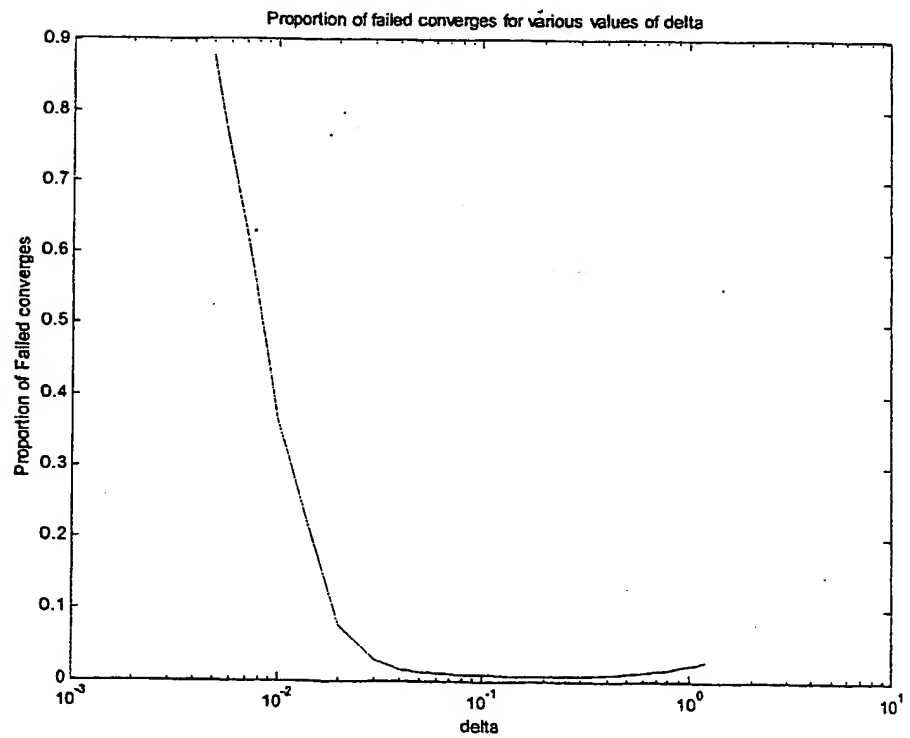


Figure 13 : Proportion of failed converges for various values of delta ( $\delta$ )

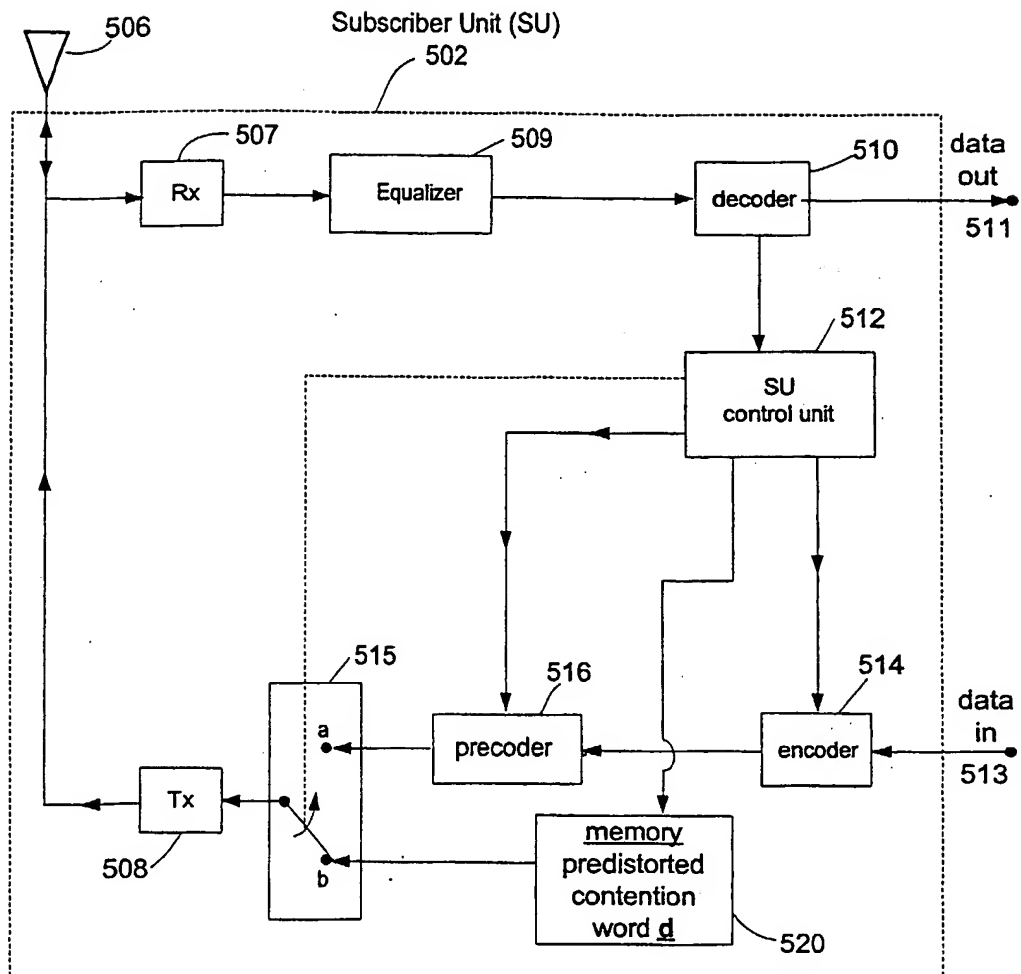


Figure 14

### Method and Apparatus for Predistorting Data

The invention relates to a method of predistorting data to compensate for the impulse response of a channel over which it is to be transmitted.

The invention further relates to a fixed wireless access system comprising an access point and a plurality of subscriber units. The invention still further relates to an access point and to a subscriber unit for use in such a system.

In radio communications systems, multipath interference is a major obstacle to high speed data transmission. Multipath interference arises when the radio signal is reflected and diffracted by objects in its path such as buildings, trees and even vehicles. Multipath interference causes echos from a particular data symbol to overlap with neighbouring symbols and this is known as intersymbol interference. Some form of equalisation is necessary to remove the intersymbol interference from the received signal. Unfortunately the equalisation process requires complicated signal processing and for high speed real time data communication systems this can be a significant problem.

In a fixed wireless access communications network, many subscriber units communicate with a single access point using a time division multiple access protocol. In a point to multipoint fixed wireless access system, each subscriber unit only receives data from a single access point and downlink bursts can often be heard by all subscriber units. Consequently, each subscriber unit has plenty of time to train its equaliser on the downstream channel. On the upstream channel however, signal

transmissions occur between many different subscriber units and a single access point and so the access point has a very heavy equalisation burden as the channel characteristics of the different channels from the subscriber units to the access point may all be different and need different equalisation characteristics. As a result the equaliser at the access point will be required to adjust its coefficients rapidly to deal with bursts arriving from different subscriber units over channels having different impulse responses while the equaliser at each subscriber unit has the relatively simple task of equalising the single channel between itself and the access point. This is particularly significant when a frequency division duplex system is employed as there is no correlation between the upstream and downstream channels. During normal data transmission upstream data slots are scheduled by the access point which then knows the identity of the subscriber unit which is to transmit and therefore has prior knowledge of the impulse response of the channel between the scheduled subscriber unit and the access point. It is possible to use this prior knowledge to initialise the equaliser coefficients at the access point using a look up table so reducing training time and improving efficiency, assuming that the channel impulse response is unchanging or changes only slowly.

In order to obtain transmission time, each subscriber unit must first contend for channel access. This is achieved by transmitting a unique subscriber unit identifier, called a contention word, to the access point during a known contention field. The access point decodes the subscriber unit identifier and allocates a field in a subsequent data frame to that subscriber unit for upstream data transmission. The contention word transmitted from the subscriber unit is distorted by multipath interference due

to reflection and diffraction in the radio channel and since the subscriber units have different physical positions the paths between the subscriber units and the access point are different leading to the channel distortion being different for each subscriber unit. Consequently, the received contention signal must be equalised before it can be decoded. During this contention procedure, however, the access point does not know the identity of the subscriber unit which is transmitting during a particular contention slot. This means that the access point has no prior knowledge of the channel impulse response and so the access point must retrain its equaliser for each contention burst. This retraining introduces delays, requires increased transmission overhead for an equaliser training sequence, and requires high computational complexity at the access point.

It is known that a precoder can be used to invert the impulse response of a communications channel, also known as the channel impulse response. The precoder predistorts the data signal using the inverse of the channel response so that the received signal (after passing through the channel) is free from distortion. One possibility is to use a linear finite impulse response (FIR) filter resulting in a linear precoder. The problem with a linear precoder is that any roots of the channel impulse response lying close to the unit circle will result in a very long FIR filter which may not be practically realisable. It is also possible to construct a precoder using a feedback or infinite impulse response (IIR) filter to invert the channel response. The problem with using an IIR filter is that the precoder is prone to instability.

A contention scheme disclosed in our copending UK Patent Application No: 0106604.2 (42559) relies on full precoding



of the contention word at the subscriber unit and has the capability of removing a small number of critical zeros from the channel impulse response. It uses a linear precoder to cancel all roots of the channel impulse response except for those roots lying on the unit circle in the  $z$  plane. A root rotation method combined with pulse position modulation is used to remove critical zeros (zeros on the unit circle) from the channel response. In effect the input data word is modified to cancel out those zeros of the channel impulse response which the precoder is unable to cancel. The problem with this method is that some radio channels contain multiple critical zeros which are beyond the capacity of the root rotation method to remove.

The invention provides a fixed wireless access communications system comprising an access point and a plurality of subscriber units each transmitting a predetermined data sequence; in which each subscriber unit comprises a precoder for predistorting the predetermined data sequence to compensate for the characteristics of the upstream transmission channel between the subscriber unit and the access point wherein the system comprises means for optimising the precoder characteristic specifically for the predetermined data sequence.

Such an optimised precoding scheme is suited to the contention process in a fixed wireless access communication system where each subscriber unit wishes to transmit a short predetermined sequence known as a contention word during the contention slot. Typically, each subscriber unit only needs to transmit a single fixed sequence for contention rather than a number of arbitrary data sequences. Consequently, it is possible to optimise the precoder specifically for this sequence. This gives

the advantage that the contention word can usually be ideally precoded before transmission either by using a short precoder or by storing the predistorted predetermined data sequence at the transmitter. A further  
5 advantage is that a linear precoder can be used avoiding the stability problems associated with non-linear precoders. Optimising the precoder for a unique data sequence allows more degrees of freedom for the precoder coefficients, so that a linear precoder can be selected  
10 that avoids roots lying near the unit circle in the z-plane. In a conventional linear precoder roots lying close to the unit circle in the z-plane result in a very long precoder. In addition, the length of the precoder is minimised which prevents excessive smearing of the  
15 received contention word in time, so minimising transmission overheads. In the second embodiment only a small memory capacity is required to store the predistorted contention word.

The precoder may be optimised using the recursive least  
20 squares algorithm. When using this algorithm the initial state of the signal correlation matrix  $P_o$  may be set to

$P_o = \delta I$ , where  $\delta > 0.1\sigma^2$  and  $\sigma^2$  is the variance of the  
data samples, and where  $I$  is the identity matrix. The  
number of iterations may be restricted to be not  
25 substantially greater than the length of the precoder. The length of the precoder may be made equal to the length  
of the predetermined data sequence.

This gives the advantage that by using a relatively small  
number of iterations the computational complexity and  
30 processing time are minimised while the precoding obtained is optimised for the actual data sequence being

transmitted by setting the initial parameters using a knowledge of the sequence to be received and decoded.

Generally the recursive least squares (RLS) algorithm used to train an equaliser employs a large number of iterations and sets the value of  $\delta$  to a very much lower value, typically  $< 0.001\sigma^2$ . This ensures that the initial conditions do not significantly affect the tap coefficients produced and the equaliser or the precoder is trained for all data sequences. When the constraints given above are applied to the RLS algorithm the initial conditions do affect the tap co-efficients and the precoder is optimised specifically for the predetermined sequence.

Clearly alternative algorithms for obtaining precoder (or equaliser) tap coefficients could be used to implement the invention provided that they are constrained to optimise the precoder for the specific data sequence. Examples of alternative algorithms which could be used include gradient search methods and the Moore-Penrose pseudo-inverse algorithm.

A frequency division duplex, time division multiplex protocol may be used for communication between the access point and the subscriber units.

Precoding of transmissions from subscriber units is particularly advantageous in such systems as the characteristics of the upstream channels between the subscriber units and the access point are not correlated with those of the downstream channels between the access point and the subscriber units. This increases the processing load at the access point as each transmission

from the subscriber units has to be equalised if precoding is not used and during contention the access point has no prior knowledge of the upstream channel characteristics as it does not know which subscriber unit is transmitting  
5 until it has decoded the contention word.

The invention further provides a method of pre-distorting a predetermined data sequence to compensate for the impulse response of a channel over which the predetermined data sequence is to be transmitted comprising the steps  
10 of;

transmitting the predetermined data sequence without precoding over the channel using a first transmitter,  
receiving the predetermined data sequence using a first receiver comprising an equaliser and equalising the  
15 received signal, using an algorithm that is constrained to optimise the equaliser specifically for the predetermined sequence, to enable the sequence to be decoded;

determining the equaliser coefficients required to enable the equaliser to equalise the received data  
20 sequence,

applying the determined equaliser coefficients to a second transmitter;

transmitting the equaliser coefficients to a second receiver using the second transmitter;

25 receiving the equaliser coefficients at the second receiver, and

loading the received equaliser coefficients into a precoder in the first transmitter when the predetermined sequence is subsequently transmitted so that it is  
30 received at the first receiver in a form suitable for decoding without equalisation at the first receiver.

The method may be modified by replacing the loading step by the steps of forming the predistorted predetermined

data sequence and storing it in memory, and subsequently transmitting the stored predetermined data sequence so that it is received at the first receiver in a form suitable for decoding without equalisation at the first receiver.

By constraining the algorithm to optimise the equaliser for the predetermined sequence a linear equaliser of relatively short length can be used to enable the data sequence to be decoded and the precoder, which takes a similar form to the equaliser, is also of short length minimising smearing of the transmitted data sequence. Also, particularly when using the modified RLS algorithm to calculate the equaliser tap coefficients a relatively low computational complexity is involved and the reduced number of iterations result in a short processing time.

The first receiver and second transmitter may be located in the access point and the second receiver and first transmitter may be located in a subscriber unit wherein in order to set up the precoder to precode the contention word the following steps are implemented;

the access point is arranged to transmit a data field comprising a subscriber unit identifier, a test contention request control code, a contention word to be returned by the subscriber unit, and a test contention delay which indicates a reserved time slot during which the subscriber unit should transmit the test contention word,

the subscriber unit is arranged to receive and decode the transmitted data field and to transmit the received contention word without precoding to the access point in the reserved time slot,

the access point is arranged to receive the contention word, to train a linear equaliser using the

received contention word, and to determined the equaliser tap coefficients,

the access point is arranged to transmit to the subscriber unit a data field comprising the subscriber  
5 unit identifier, the determined equaliser tap coefficients, and a flag indicating that the test contention word has been successfully decoded, and

the subscriber unit is arranged to apply the received tap coefficients to a linear precoder to predistort the  
10 contention word on subsequent transmission of the contention word to the access point.

Alternatively the subscriber unit may be arranged to calculate and store a predistorted version of the contention word for subsequent transmission of the  
15 contention word to the access point.

The first contention word transmitted by the subscriber unit is a test contention word that is not precoded and is transmitted in a reserved contention slot, that is reserved for the specific subscriber unit. This first  
20 contention word is used to determine the precoder coefficients using an equaliser at the access point that has the same structure as the precoder at the subscriber unit. All subsequent contention words are precoded before transmission and transmitted during a non-exclusive  
25 contention slot that is also used by other subscriber units for contention.

This allows, in a system according to the invention, for the contention word to be allocated to a subscriber unit by the access point, for the precoder tap coefficients to  
30 be calculated at the access point and transmitted to the subscriber unit and for the subscriber unit to subsequently precode the contention word whenever it

wishes to contend for access to a transmission slot between itself and the access point.

5 The invention still further provides an access point for use in a system according to the invention, the access point comprising a linear equaliser for equalising a predetermined data sequence received over a transmission channel, wherein the equaliser is optimised specifically to equalise the predetermined data sequence.

10 The access point may comprise a control unit for implementing the algorithm used to train the equaliser and determining the equaliser tap coefficients and a transmitter for transmitting the determined equaliser tap coefficients to the subscriber unit that transmitted the predetermined data sequence to the access point. The  
15 predetermined data sequence may be a contention word, wherein the control unit is arranged to allocate a contention word to be transmitted by a subscriber unit, to cause the allocated contention word to be transmitted to a selected subscriber unit together with an instruction to  
20 the subscriber unit to transmit the allocated contention word without precoding at a given time, and to train the equaliser using the received contention word at the given time.

25 The invention yet further provides a subscriber unit for use in a system according to the invention, the subscriber unit comprising a transmitter for transmitting a predetermined data sequence over a transmission channel and a precoder for precoding the predetermined data sequence to compensate for the impulse response of the  
30 transmission channel, wherein the precoder is optimised specifically to precode the predetermined data sequence.

The precoder may be a linear finite impulse response filter. Alternatively the precoder may comprise a memory in which a predistorted version of the predetermined data sequence is stored.

5 The subscriber unit may comprise a receiver for receiving data transmissions from an access point, a decoder for decoding the received data transmissions, a control unit for interpreting the data transmissions from the access point and controlling the response thereto of the  
10 subscriber unit, and a transmitter for transmitting data sequences to the access point; wherein the control unit is arranged to cause the subscriber unit to transmit a received contention word to the access point in response to an instruction received from the access point without  
15 precoding at a time specified by the access point and to apply precoding to the contention word on transmissions of the contention word subsequent to receiving precoder tap coefficients from the access point.

The above and other features and advantages of the  
20 invention will be apparent from the following description, by way of example, of embodiments of the invention with reference to the accompanying drawings, in which;

Figure 1 shows in block schematic form a generalised fixed wireless access communications system of known form;

25 Figure 2 shows in block schematic form a fixed wireless access system according to the invention;

Figure 3 shows in block schematic form a first embodiment of a subscriber unit according to the invention for use in the system of Figure 2;

30 Figure 4 shows in block schematic form an embodiment of an access point according to the invention for use in the system of Figure 2;



Figure 5 shows in block schematic form a linear precoder which may be used in a system according to the invention;

5 Figure 6 shows upstream and downstream frames and shows in particular the contention word slots;

Figure 7 shows details of upstream and downstream frames indicating the method of setting up the contention word;

10 Figure 8 illustrates upstream and downstream frames showing the contention word set up fields in expanded form;

Figure 9 is a flow diagram illustrating the setting up of the subscriber unit contention word;

15 Figure 10 is a block schematic diagram of a subscriber unit and access point illustrating the setting up of the contention word;

Figure 11 shows the form of the contention word;

Figure 12 is a graph showing the variation of the average mean squared error and the value of  $\delta$ ;

20 Figure 13 is a graph showing the value of  $\delta$  plotted against the proportion of failed convergences; and

Figure 14 shows in block schematic form a second embodiment of a subscriber unit according to the invention for use in the system of Figure 2.

25 As shown in Figure 1 a known fixed wireless access communications network comprises an access point 1 and a plurality of subscriber units 2-1, 2-2 to 2-N. Each subscriber unit is connected to the access point via a downstream channel  $h_{1-1}$ ,  $h_{1-2}$  and  $h_{1-N}$  and upstream channels  
30  $h_{2-1}$ ,  $h_{2-2}$  to  $h_{2-N}$ . As discussed in the introduction, each of these channels will suffer multipath interference and each of the channels will have its own channel impulse response. Normally the subscriber units will be able to

hear all downstream transmissions from the access point and consequently will have plenty of time to train an equaliser to remove intersymbol interference. In the upstream direction, however, each subscriber unit

5 transmits to the access point over a separate channel using a time division multiplex protocol. As a result, the characteristics of the equaliser at the access point 1 have to be changed for each transmission from the subscriber units since the channel impulse responses for

10 the transmissions from different subscriber units will be different. During normal data transmission this may be achieved by switching equaliser coefficients stored in a look up table depending on which subscriber unit has been allocated the particular upstream transmission time slot.

15 That is the access point knows which subscriber unit is transmitting at any particular time and can preset its equaliser characteristics using a look up table storing the appropriate tap co-efficients, which have been determined using training sequences on previous

20 transmissions to equalise the particular channel impulse response in the channel between the expected subscriber unit and the access point. During a contention slot, however, the access point has no knowledge of which subscriber unit is attempting to communicate with it.

25 Thus, the equaliser has to be trained for every transmission as it has no prior knowledge of which transmission channel is being used and hence what its characteristics are. This imposes a large overhead as the subscriber unit has to transmit a training sequence within

30 the contention slot in order to enable the access point to train its equaliser.

As has been stated earlier, it is known that a precoder can be used to invert the channel impulse response before transmission. These precoders use some kind of filter or

combination of filters to invert the channel impulse response so that any transmitted signal, after precoding and passing through the channel, is received undistorted at the receiver. The precoder transfer function  $F$  is the  
5 inverse of the channel transfer function  $H$ , so that  $FH=1$ . Thus any arbitrary data sequence input at the transmitter is received undistorted at the receiver. This holds true for all input sequences.

In our co-pending UK Patent Application No: 0106604.2  
10 (42559) a linear precoder is used to avoid the stability problems associated with non-linear precoders. The linear precoder cancels all roots of the channel impulse response except for those roots lying on the unit circle in the  $z$  plane. A root rotation method combined with pulse position  
15 modulation is used to remove critical zeros (zeros on the unit circle) from the channel impulse response. In effect, the input data word is modified to cancel out those zeros of the channel impulse response which the precoder is unable to cancel. The difficulty with this method is that  
20 some channels contain multiple critical zeros which are beyond the capacity of the root rotation method to remove.

It is desirable to use pre-equalisation (precoding) for the contention word as proposed in our co-pending application, but a more robust method is desirable to deal  
25 with the situation where multiple critical zeros of the channel impulse response lie on or close to the unit circle in the  $z$  plane.

Figure 2 shows in block schematic form a fixed wireless access system in which the invention may be implemented.  
30 The system shown in Figure 2 comprises an access point 501 and a plurality of subscriber units 502-1, 502-2 to 502-N. Transmission between the access point 501 and the

subscriber units 502 is by means of a time division multiplex, frequency division duplex protocol. That is, the access point transmits data to the subscriber units at one carrier frequency and receives transmissions from each subscriber unit using a different carrier frequency, the subscriber units all transmitting at the same carrier frequency, but in time division multiplex form. As a result, all the subscriber units receive downstream data from the access point on the same carrier frequency, albeit over different channels. That is, the downstream channels  $h_{1,1}$ ,  $h_{1,2}$  to  $h_{1,N}$  will have different channel impulse responses but will continuously receive the transmissions from the access point even if they are not specifically addressed to that subscriber unit. The access point 501 will receive transmissions from the subscriber units over upstream channels  $h_{2,1}$ ,  $h_{2,2}$  to  $h_{2,N}$ . Each of these channels will have a different channel impulse response and the transmissions will be time division multiplexed so that the access point receives bursts of data from each of the subscriber units in turn. Due to the different carrier frequencies used for upstream and downstream transmission, the downstream channel impulse responses  $h_{1,1}$  to  $h_{1,N}$  are not the same as the corresponding upstream channel responses  $h_{2,1}$  to  $h_{2,N}$ .

Data sent by the access point is not precoded as all the subscriber units have sufficient time to equalise the channel characteristics between the access point and the respective subscriber unit, since they will normally receive all transmissions from the access point and the access point is transmitting relatively continuously. Thus each subscriber unit will include an equalizer to remove intersymbol interference from the transmissions of the access point and this equalizer has ample time to be trained to the channel characteristics. In the upstream

direction, transmissions from the subscriber unit are precoded before being transmitted to compensate for the impulse response of the channel between the subscriber unit and the access point. This is because the access point would otherwise incur a substantial signal processing burden in equalising the channels from all the different subscriber units. This signal processing would have to be done in a short time period otherwise the transmission overheads would become excessive.

Figure 3 shows in block schematic form an embodiment of a subscriber unit according to the invention. As shown in Figure 3 the subscriber unit comprises an antenna 506 which is connected to the input of a receiver 507 and the output of a transmitter 508. The output of the receiver is fed to the input of an equaliser 509 whose output is connected to the input of a decoder 510. The output of the decoder 510 provides a data output 511 of the subscriber unit. The output of the decoder is also fed to an input of a control unit 512 which controls the operation of the subscriber unit. The control unit will typically comprise a processor with associated memory. A data input 513 is connected to the input of an encoder 514 which encodes the data to be transmitted and assembles it into appropriate data frames. The output of the encoder is fed via a switch 515 to the input of one of two precoders 516 and 517 or to a bypass line 519. The switch 515 is controlled by an output of the control unit 512 to cause the output of the encoder to be connected to one or other of the precoders 516 and 517 or to a bypass line 519. The outputs of the precoders 516 and 517 are connected to the input of the transmitter 508 to enable the data to be transmitted via the antenna 506 to the access point 501. A look up table 518 in the form of a random access memory is used to store the tap co-

efficients of the precoders 516 and 517 and an output from the control unit 512 controls the loading of the tap coefficients into the precoders 516 and 517. In some embodiments the precoders 516 and 517 and bypass line 518  
5 may be a single FIR filter whose tap coefficients are loaded so that different coefficients are used depending on whether the contention word or more general data is being transmitted. In this case the switch 515 will be omitted.

10 The subscriber unit 502 is arranged to transmit a test contention word without precoding and in order to do this the switch 515 is set to position c so that the encoded data is applied to the transmitter 508 via the bypass line 519 and no precoding of the encoded contention word takes  
15 place. The test contention word is used in the access point to train an equaliser which has the same form as the linear precoder 517 in the subscriber unit. When the equaliser tap coefficients have been determined to enable the equaliser to equalise the channel impulse response  
20 specifically for the test contention word these tap coefficients are transmitted to the subscriber unit and placed in the look up table 518.

When the subscriber unit 502 subsequently transmits a normal contention word the switch 515 is set to position b  
25 and the encoded contention word is fed from the encoder 514 to the transmitter 508 via the linear precoder 517 which is optimised specifically for precoding the contention word and not for precoding general data. The coefficients of the linear precoder 517 are loaded from  
30 the look up table 518 under the control of the control unit 512 whenever the contention word is to be transmitted. They have been placed in the look up table 518 as a result of receiving them from the access point.

A linear equaliser in the access point, which takes the same form as the precoder 517, is trained using the test contention word transmitted without precoding and its tap coefficients determined. These tap coefficients are received by the subscriber unit and placed in the look up table 518 for use in precoding subsequent transmissions of the contention word.

When the subscriber unit transmits general data the switch 515 is set to position a and the encoded general data is fed from the encoder 514 to the transmitter 508 via the precoder 516 which has its coefficients set for precoding arbitrary data sequences. These coefficients are also stored in the look up table 518 and are applied to the precoder 516 under the control of the control unit 512 whenever general data sequences are to be transmitted.

Figure 14 shows in block schematic form a second (alternative) embodiment of subscriber unit in which the invention may be implemented. In Figure 14 elements corresponding to elements in the embodiment of Figure 3 have been given the same reference signs. Only the difference between the two embodiments will be described in detail in the following description.

The most significant difference between the two embodiments is that in the first embodiment the contention word c (see Figure 10) is applied to a linear precoder 517 and the precoded contention word d (Figure 10) is then applied to the transmitter 508 for transmission to the access point. In the second embodiment a memory 520 is provided instead of the linear precoder 517. In order to enter the precoded contention word into the memory the original contention word is convolved with the tap coefficients in a linear FIR filter

or linear precoder once only and the resultant precoded contention word is written into the memory 520. Whenever it is desired to transmit the contention word it is read from the memory 520 and applied to the transmitter 508.

5 The control unit 512 calculates the precoded contention word d and stores it in the memory 520. When the contention word c is to be transmitted in a contention slot the control unit 512 causes the predistorted contention word d to be read out of the memory 520 and fed

10 to the transmitter 508 for transmission to the access point.

The set up procedure for the contention word follows the same process regardless of which embodiment is used. It is convenient in the second embodiment shown in Figure 14

15 to place the switching arrangement immediately before the switch to select one of the sources 516, 519 and 520 of the data to be transmitted since the encoder 514 is not now the source of all the data to be transmitted.

In other respects the subscriber unit of the second

20 embodiment may, conveniently, be the same as that of the first embodiment.

Figure 4 shows in block schematic form an embodiment of an access point 501 in which the invention may be implemented. The access point 501 comprises an antenna

25 530 which is connected to the input of a receiver 531 and the output of a transmitter 532. The output of the receiver 531 is connected via a switch 533 to respective inputs of a linear equalizer 535, an equalizer 536, which may take the form of a decision feedback equaliser, and a

30 bypass line 537. The output of the receiver 531 is further connected to an input of a correlator 534 that establishes correct timing and provides timing information



to a control unit 540. The outputs of the linear equalizer 535, equalizer 536, and bypass line 537 are connected to the input of a decoder 538. The output of the decoder 538 is fed to a data output 539 and to an  
5 input of the control unit 540. The control unit 540 has output which controls the switch 533 to select whether the bypass line 537, linear equalizer 535 or equalizer 536 are used in the decoding of the data sent from the subscriber units. The control unit 540 also enters data into a look  
10 up table 541, which may take the form of a read-write memory, and controls the reading out of the data into the equalizer 536 to enable the appropriate equalizer taps to be set up depending on which subscriber unit is transmitting to the access point at the time. The output  
15 of the linear equaliser 535 is additionally connected to an input of the control unit 540. A further read-write memory 545 is connected to the control unit 540 and to the linear equaliser 535. An input 542 receives data for transmission and is connected to the input of an encoder  
20 543 whose output is fed to the transmitter 532. The encoder is controlled by an output from the control unit 540 which causes the data to be encoded and assembled into the appropriate frame structure.

When a test contention word is received during a reserved  
25 test contention time slot the switch 533 is set to position c and the control unit 540 runs the algorithm to train the linear equaliser 535 to the specific data sequence represented by the test contention word. Once the linear equaliser coefficients have been optimised they  
30 are read from the memory 545 by the control unit 540 and then applied to the encoder 543 for transmission to the subscriber unit that transmitted the test contention word in the reserved time slot.

When a normal contention word is received during a general contention slot the switch 533 is set to position b so that the received contention word is passed via the bypass line 537 to the decoder 538 as the contention word will  
5 have been precoded by the subscriber unit so that it arrives at the access point undistorted and can be directly decoded.

When general data is received the access point will know which subscriber unit has transmitted the general data as  
10 the access point allocates the time slots during which each subscriber unit can transmit. Consequently it also knows the channel impulse response of the channel over which the data is being transmitted. At this time the switch 533 is set to position a and the equaliser 536 is  
15 used to equalise the received data before applying it to the decoder 538. The coefficients for the equaliser 536 are stored in the look up table 541 and the appropriate set is loaded into the equaliser 536 under the control of the control unit 540.

20 As a result of this procedure the processing load in the access point is minimised as it only has to calculate the coefficients of the linear equaliser once for each subscriber unit which is registered with the access point when the subscriber unit first registers. Subsequent  
25 transmissions of the contention word are precoded so that no equalisation is required and no prior knowledge of which subscriber unit is transmitting the contention word is needed.

Figure 5 shows in block schematic form a finite impulse  
30 response (FIR) filter which may be used as the linear equaliser 535 in the access point 501 (Figure 4) and as

the linear precoder 517 in a subscriber unit 502 (Figure 3).

5       The FIR filter has an input 300 which is connected to the input of the first of L series connected delay stages 301-1, 301-2 to 301-L. The output of each delay stage is connected to the first input of a respective multiplier 302-1, 302-2 to 302-L. Tap coefficient inputs C1, C2 to CL are connected to second inputs of the multipliers 302-1, 302-2 to 302-L. The outputs of the multipliers 302-1,  
10       302-2 to 302-L are fed to respective inputs of a summing arrangement 303 whose output is coupled to the output 304 of the filter.

15       When used as the equaliser 535 in the access point the input 300 receives the output signal from the receiver 531, that is the data sequence transmitted by the subscriber unit without precoding, and the output 304 is coupled to the decoder 538. The tap coefficients C1 to CL are adjusted using the chosen algorithm and updated at each iteration until the equaliser is optimised for the  
20       predetermined data sequence. The predetermined data sequence in the case of a fixed wireless access system is a contention word which the subscriber unit transmits in order to be allocated a time slot for transmission of general data.

25       When used as the linear precoder 517 in a subscriber unit the input 300 receives the predetermined data sequence (for example a contention word) from the encoder 514 and predistorts it using the filter characteristics to produce a predistorted data sequence at the output 304 which is  
30       fed to the transmitter 508. The filter tap coefficients are loaded from the look up table 518 and are the same as those determined in the access point 501 for the equaliser

535. By this means the predistortion generated by the linear precoder 517 will be the inverse of that introduced by the transmission channel and consequently the data will arrive at the access point substantially undistorted and not require equalisation before detection and decoding.

Frame structures for use in transmitting data between the access point and the subscriber units in both directions are shown in Figures 6, 7 and 8. As shown in Figure 6, the downstream frame 200 comprises a synchronisation sequence 202 and downstream data fields 203. Contention allocation data fields 201-1 to 201-3 are also transmitted which identify to individual subscriber units when they are allocated data slots to transmit data to the access point over the upstream channels. The upstream frames 210 include contention slots 211-1 to 211-3 during which a subscriber unit can send a contention word to ask the access point for transmission time. The upstream data fields 213 contain the data sent by the subscriber units to the access point. These are the commonly transmitted frames which cater for the sending of data in both directions and for the subscriber units to obtain allocated transmission time slots.

Figure 7 shows further upstream and downstream frames which contain fields allowing the subscriber units and access point to set up the data channels between them by a process which will be described in greater detail with reference to Figure 9. These frames will be transmitted periodically inter-leaved with the normal data transmission frames. The downstream frame 700 comprises a synchronising sequence 701 followed by a power adjustment field 702 and a precoder initialisation field 703. Our co-pending UK patent applications nos. 0113887.4 (42557) and 0113888.2 (43066) disclose how those fields are used

to set the power level at which the subscriber unit transmits data to the access point and to determine the tap coefficients for the precoder 516 used for general data transmission. The present invention is concerned, 5 inter alia, with the initial set up of the contention word precoder 517. The power adjustment and precoder initialisation fields are followed by a contention allocation field CA-D 704-1 and downstream data fields 707. A test contention request field (TCR-D) 705 is 10 transmitted which, as shown in Figure 8 comprises a subscriber unit identifier (SU-ID) 720, a control code (TCR control code) 721 signifying that the TCR-D field is a test contention request, the contention word to be used 722 and a test contention delay (TC-delay) 723 which 15 specifies a dedicated time slot (TC-U) 712 in which the subscriber unit should send a test contention word 722 without precoding. The access point listens during the dedicated time slot TC-U 712 for the test contention word 722 transmitted by the subscriber unit and trains the 20 linear equaliser 535 to equalise the unique test contention word used. When the equaliser 535 has been trained the tap coefficients are transmitted to the subscriber unit in a test contention acknowledgment field (TCA-D) 706. The test contention acknowledgment field 706 25 comprises the subscriber unit identity (SU-ID) 720, the linear tap coefficients 725 that were obtained after training the linear equaliser 535 using the contention word transmitted without precoding and which are to be used by the precoder 517 for future transmission of the 30 contention word in the contention slots 711-1, 711-2, 711-3 etc, and a flag TC-flag 726 which is high when the access point has successfully decoded the test contention word in the time slot (TC-U) 712.

The method of setting up the subscriber unit contention word is illustrated in Figure 9. The process starts in step 601, with the subscriber unit transmitting a registration request. This informs the access point that the subscriber unit is active and wishes to register with that access point. On receiving a registration request the access point goes through a registration procedure, step 602, which allocates and transmits a unique code SU-ID to the subscriber unit which receives and stores this code in step 603. The access point then performs step 604 which consists of generating and transmitting a request for a test contention word. This request takes the form of field TCR-D 705 in Figures 7 and 8. The subscriber unit then receives and decodes the TCR-D field 705 and, if the SU-ID corresponds with that stored in step 603, it waits for the arrival of the dedicated test contention slot TC-U 712, step 605, and then, in step 606, transmits the test contention word 722 with no precoding. The access point then, in step 607, listens for the transmitted test contention word during the TC-U time slot 712 and, in step 608, trains the linear equaliser 535 to determine the equaliser tap coefficients. These correspond to the required tap coefficients for the linear precoder 517 in the subscriber unit so the next step, 609, is to transmit the precoder tap coefficients to the subscriber unit. This is done using the test contention acknowledge TCA-D field 706. The subscriber unit then receives the field TCA-D 706 and decodes and stores the precoder tap coefficients, step 610. The subscriber unit then, in step 611, sends an acknowledgment to the access point that it has received the tap coefficients and, in step 612, the access point readies itself to receive contention requests from the subscriber unit. That is, in the embodiment shown in Figure 4 it will set switch 533 to position b during contention slots. Similarly the

subscriber unit then readies itself, step 613, to send normal contention requests which are passed through the linear precoder 517 to predistort the contention word before transmission so that the access point can decode it without having to equalise it. This is achieved in the embodiment shown in Figure 3 by setting the switch 515 to position b when a contention word is to be transmitted.

As in UK Patent Application No: 0106604.2 (42559) a linear precoder is used to avoid the stability problems associated with non-linear precoders. In the present invention however, the precoder transfer function  $F$  is not adjusted to be the inverse of the channel transfer function  $H$ . Instead the precoder transfer function  $F$  is optimised for an individual data sequence so that the particular data sequence arrives undistorted at the receiver. Thus, for a particular data sequence  $X_1$  a unique precoder is calculated with transfer function  $F_1$ . Transfer function  $F_1$  is calculated to ensure that when the unique sequence  $X_1$  is passed through the precoder and the transmission channel it will arrive at the receiver undistorted. A particular encoder  $F_N$  can only be used to precode the unique data sequence  $X_N$  which corresponds to it. This relaxes the constraints on the precoder transfer function  $F$ , so that the unique transfer function  $F_N$  is more easily realisable with a linear finite impulse response filter. Any other data sequence precoded using these tap coefficients will not normally be decodable on reception.

In order to set up the precoder for a particular data sequence  $X_N$ , a test signal consisting of the sequence  $X_N$  is transmitted over the channel without any precoding as illustrated in steps 604 to 607 of Figure 9. The length of the sequence  $X_N$  is  $L$  symbols. The linear equaliser 535 at the receiver in the access point is then trained using

an adaptive algorithm which trains the equaliser specifically for the sequence  $X_N$ . After training is complete the tap coefficients of the linear equaliser are transmitted back to the subscriber unit and stored in a look up table at a location corresponding to the particular sequence  $X_N$  for later use as precoder coefficients. The linear equaliser 535 at the access point is not further used and is now deactivated because a subsequent data burst from the transmitter in the subscriber unit will be precoded. Whenever the subscriber unit wishes to transmit the sequence  $X_N$  it accesses the look up table 518, retrieves the tap coefficients corresponding to the sequence  $X_N$ , and loads these coefficient values into the taps of the linear precoder 517. The data sequence  $X_N$  is then passed through the linear precoder 517 and transmitted over the channel arriving undistorted at the receiver. In a typical embodiment each subscriber unit will use a single unique contention word and, consequently, will only require a single set of tap coefficients for the linear precoder 517. In this case the look up table 518 may not be required.

In one embodiment of the invention, the length of the precoder is set to be equal to the length of the data sequence  $L$ . The linear equaliser at the access point also has length  $L$ . The linear equaliser 535 at the access point is then trained using an adaptive algorithm constrained in such a way that the equaliser compensates channel distortion only for the unique training sequence used. An example of a suitable adaptive algorithm is a modified version of the recursive least squares (RLS) algorithm with the number of iterations limited to  $L$ . It is well known to use the RLS algorithm to calculate the tap co-efficients for an equaliser but in the known



arrangement the RLS algorithm has always operated with substantially more iterations than the length of the sequence L in order to make the equaliser taps independent of the training sequence, since it has been desired to  
5 cause the equaliser to equalise all data sequences. According to an embodiment of the present invention, the number of iterations used by the RLS algorithm is intentionally limited to be not substantially more than L symbols with the express intention of making the equaliser  
10 taps converge to a set of values which are optimised only for the unique training sequence used, which is in practice the contention word.

As known in the art, see for example "Digital Communications" by J.G. Proakis published by McGraw-Hill  
15 1995, the RLS algorithm uses least squares to solve the set of linear equations:

$$R_k F_k = D_k \quad (1)$$

where:  $R_k$  is the signal correlation matrix defined as:

$$R_k = \sum_{n=0}^k w^{k-n} Y_n^* Y_n^T \quad (2)$$

20  $Y_k$  is the vector of received signal samples

$F_k$  is the vector of equalisation taps

$D_k$  is the cross correlation vector defined as:

$$D_k = \sum_{n=0}^k w^{k-n} X(n) Y_n^* \quad (3)$$

$X(k)$  is the transmitted symbol of time interval  $k$

$w$  is a weighting factor  $0 < w < 1$

The solution is given as:

5 
$$F_k = R_k^{-1} D_k \quad (4)$$

$$P_k = R_k^{-1} \quad (5)$$

The RLS algorithm minimises the cost function  $\varepsilon(n)$ :

$$\varepsilon(n) = \sum_{i=1}^n \lambda^{n-i} |e(i)|^2 \quad (6)$$

10 where  $e(k)$  is the error between the desired symbol and the estimated symbol at the slicer input, at time  $k$ . The RLS algorithm computes the solution to equation (4) iteratively, using the following procedure.

1. Compute output:

$$z(k) = Y_k^T F_{k-1} \quad (7)$$

15 2. Compute error:

$$e(k) = x(k) - z(k) \quad (8)$$

3. Compute Kalman gain vector:

$$K_k = \frac{P_{k-1} Y_k^*}{w + Y_k^T P_{k-1} Y_k^*} \quad (9)$$

4. Update inverse of correlation matrix:

$$P_k = \frac{1}{w} [P_{k-1} - K_k Y_k^T P_{k-1}] \quad (10)$$

5. Update equalizer coefficients:

$$F_k = F_{k-1} + K_k e(k) = F_{k-1} + P_k Y_k^* e(k) \quad (11)$$

The steps 1-5 above are repeated for a number of iterations, until the equalizer coefficients converge. In order to avoid stability problems due to an ill-conditioned correlation matrix, it is known in the art to initialize the matrix  $P_k$  to  $P_0 = \delta I$  where  $I$  is the identity matrix, and  $\delta$  is a small positive number. The value  $\delta$  must be chosen so that  $\delta \ll 0.01\sigma_x^2$  where  $\sigma_x^2$  is the variance of the data samples. For the case of QPSK signals, for example,  $\sigma_x^2 = 1$  and so  $\delta$  should be chosen as  $\delta \leq 0.001$ . For large training sequence lengths relative to the equalizer length, the exact value of  $\delta$  does not have a significant effect, provided  $\delta \ll 0.01\sigma_x^2$ . This is known as soft-constrained initialisation.

The effect of the initialisation  $P_0 = \delta I$  soon disappears as the number of iterations is substantially greater than the length of the equalizer, and the forgetting factor  $w$  causes exponential weighting of past data. This causes the equalizer taps to converge to a solution providing channel equalization independent of the input data sequences. Thus it is seen that in the case of soft-constrained initialisation, the initialisation merely

ensures smooth start-up of the algorithm, but does not substantially influence the final result.

One embodiment of the present invention uses a modified version of the RLS algorithm to calculate equalizer tap values for channel equalization that are specifically optimised for a given data sequence. This method uses hard-constrained initialisation of the RLS algorithm, so that the initialisation state of the correlation matrix has a substantial effect on the final equalizer tap values. The inverse correlation matrix is initialized to  $P_0 = \delta I$  where  $\delta$  is chosen to have an unusually high value, that is greater than 0.2 and preferably in the range  $0.5 \leq \delta \leq 1.2$ , with a particularly preferred value of  $\delta = 1$ , assuming  $\sigma_x^2 = 1$ . The graph shown in Figure 11 illustrates that to reduce the mean square error (MSE) to a low value, the value of  $\delta$  should be higher than 0.2. The graph shown in Figure 12 illustrates that if the value of  $\delta$  is smaller than 0.05, then the modified RLS algorithm fails to converge in a large proportion of channels. Therefore, to achieve good performance, the range of  $\delta$  should be restricted to  $0.2 \leq \delta \leq 1.2$ , normalised to  $\sigma_x^2 = 1$ . The number of iterations is restricted to be not substantially greater than and preferably to be less than or equal to the length of the equalizer, which is unusually low. This may be contrasted with the normal use of the RLS algorithm to set equalizer co-efficients for any data sequence where the number of iterations is chosen to be much greater than the length of the equalizer. The forgetting factor  $w$  is set to a high value of 1, so that the effect of the autocorrelation matrix initialisation does not decay

rapidly with time and, consequently, has a large influence on the final tap coefficient values.

These parameters result in a novel operating mode of the RLS algorithm. Instead of the effect of  $P_0 = \delta I$  disappearing, the small number of iterations and high values of  $\delta$  and  $w$  ensure that  $P_0$  has a very significant effect on the final values of the equalizer coefficients. As a result tap coefficients are produced which are optimised for the data sequence  $X_n$ , but not for any other sequence.

Using this new initialisation procedure requires a change in the RLS cost function. Instead of minimizing the cost function  $\varepsilon(n)$  as in equation (6) above, the algorithm now minimises the modified cost function  $\varepsilon_M(n)$ :

$$\varepsilon_M(n) = \delta \lambda^n \|F_n\|^2 + \sum_{i=1}^n \lambda^{n-i} |e(i)|^2$$

with  $\delta = 1$  and  $\lambda = 1$ , so that

$$\varepsilon_M(n) = \|F_n\|^2 + \sum_{i=1}^n |e(i)|^2 \quad (12)$$

where  $\|F_n\|^2$  is the squared norm of  $F_n$  calculated as

$$\|F_n\|^2 = \sum_{j=1}^L |f_{jn}|^2, \text{ and } F_n = [f_{1n}, f_{2n}, \dots, f_{Ln}]$$

Minimisation of the cost function  $\varepsilon_M(n)$  with the number  
of iterations restricted to be less than or equal to the  
5 number of equalizer taps, results in an equalizer which is  
optimised for the particular data sequence used during  
training.

The modified RLS algorithm described here has the  
additional advantage of very low complexity due to the  
10 reduced number of iterations. The only significant  
disadvantage of this mode of operation is that the  
statistical noise rejection properties of the RLS  
algorithm are reduced because of the reduced number of  
iterations. This is in contrast to the conventional  
15 operating mode of the RLS algorithm, whereby the equalizer  
taps are trained to be independent of the input data  
sequence, and the number of iterations needed to train the  
equalizer is substantially higher. In the conventional  
mode of operation, the RLS algorithm has very good noise  
20 rejection.

An alternative to solving for the equalizer taps  
iteratively using the modified RLS algorithm, would be to  
solve for the taps directly using the Moore-Penrose  
pseudo-inverse (MPPI) algorithm, which performs matrix  
25 inversion. The MPPI algorithm is commonly used for  
solving over-determined matrix equations. In this case it  
is necessary to constrain the MPPI solution to be specific  
to the given data sequence, for example the contention

word sequence. This method becomes very computationally intensive as the length of the contention word sequence increases.

5 In a further alternative embodiment of the invention, an optimisation algorithm using a gradient search method (for example the method of steepest descent), is used to adapt the linear equalizer at the receiver and so produce coefficients for the precoder which are optimized for the unique data sequence  $X$ . This optimization algorithm,  
10 however, requires substantially more iterations than the modified RLS algorithm described previously herein.

The precoding procedure for the contention word is illustrated in Figure 10, where the contention word  $c$ , is the unique data sequence  $X_a$ . The contention word,  $c$ , (of  
15 length  $L$ ) is passed through the precoder  $F$  (of length  $L$ ), to produce a precoded contention word,  $d$  (of length  $2L$ ). The precoded contention word is then transmitted through the channel,  $H$  (of length  $hlen$ ), and arrives at the receiver as a sequence  $g$ , (of length  $N=2L+hlen$ ) which  
20 contains an undistorted copy of the contention word  $c$ . The contention word  $c$  is illustrated in Figure 11 and consists of a first part which is a known synchronisation sequence, and a second part consisting of the SU-ID and a third part which is the cyclic redundancy check (CRC).  
25 The AP uses the correlator 534 to synchronise with the position of the first part (sync sequence) of the contention word in the received sequence  $g$ . This allows the AP to extract the whole contention word  $c$  from  $g$ . The detector at the AP decodes the SU-ID contained in the  
30 second part of the contention word,  $c$ , and verifies it using the CRC. Since the contention word  $c$  is undistorted on reception at the AP, the correlator at the AP operates

at a very high signal-to-noise ratio (SNR), providing accurate synchronization which would not be possible without precoding. The detector used to decode the contention word at the AP may be a simple symbol detector  
5 (for example, an amplitude level detector), which is possible because the contention word is undistorted. Thus the delay in decoding the contention word is very low, resulting in high efficiency.

The optimized precoding scheme as disclosed herein is  
10 ideally suited to the contention process in a wireless network, where each subscriber unit wishes to transmit a short, unique sequence during the contention slot. Typically, each SU only needs to transmit a single, fixed sequence for contention (rather than a number of arbitrary  
15 data sequences), which makes it possible to optimize the precoder specifically for this sequence. An advantage of the invention is that the contention word can generally be sufficiently precoded before transmission using a short precoder to enable detection at the access point without  
20 using equalisation. A further advantage of the invention is that a linear precoder is used, so avoiding the stability problems associated with non-linear precoders. A further advantage of the invention is that the length of the precoder is minimised, which prevents excessive  
25 smearing of the received contention word in time, so minimizing transmission overhead. A further advantage of the invention is that the process for determining the precoder tap coefficients requires only a small number of iterations of the RLS algorithm, thus computational  
30 complexity and processing time are low.



# CLAIMS

1. A fixed wireless access (FWA) communications system comprising an access point and a plurality of subscriber units each transmitting a predetermined data sequence; in which each subscriber unit comprises a precoder for predistorting the predetermined data sequence to compensate for the characteristics of the upstream transmission channel between the subscriber unit and the access point wherein the system comprises means for optimising the precoder characteristic specifically for the predetermined data sequence.

2. A system as claimed in Claim 1 in which the precoder is a linear finite impulse response filter.

3. A system as claimed in Claim 1 in which the precoder comprises a memory in which a predistorted version of the predetermined data sequence is stored.

4. A system as claimed in any of Claims 1 to 3 in which the predetermined data sequence is a contention word.

5. A system as claimed in any of Claims 1 to 4 in which the precoder is optimised using the recursive least squares algorithm.

6. A system as claimed in Claim 5 wherein the initial state of the signal correlation matrix  $P_0$  is set to

$P_0 = \delta I$ , where  $\delta > 0.1\sigma^2$  and  $\sigma^2$  is the variance of the data samples, and where  $I$  is the identity matrix.

7. A system as claimed in Claim 5 or Claim 6 in which the number of iterations is restricted to be not substantially greater than the length of the precoder.

5 8. A system as claimed in Claim 2 or any preceding claim dependent on Claim 2 in which the length of the precoder is equal to the length of the predetermined data sequence.

10 9. A system as claimed in any preceding claim in which a frequency division duplex, time division multiplex protocol is used for communication between the access point and the subscriber units.

15 10. A fixed wireless access (FWA) communications system comprising an access point and a plurality of subscriber units, the system being substantially as described herein with reference to the accompanying drawings.

20 11. A method of pre-distorting a predetermined data sequence to compensate for the impulse response of a channel over which the predetermined data sequence is to be transmitted comprising the steps of;

transmitting the predetermined data sequence without precoding over the channel using a first transmitter,  
receiving the predetermined data sequence using a first receiver and equalising the received signal, using  
25 an algorithm that is constrained to optimise the equaliser specifically for the predetermined sequence, to enable the data sequence to be decoded;

determining the equaliser coefficients required to enable the equaliser to equalise the received data  
30 sequence,

applying the determined equaliser coefficients to a second transmitter;

transmitting the equaliser coefficients to a second receiver using the second transmitter,

5 receiving the equaliser coefficients at the second receiver, and

loading the received equaliser coefficients into a precoder in the first transmitter when the predetermined sequence is subsequently transmitted so that it is  
10 received at the first receiver in a form suitable for decoding without equalisation at the first receiver.

12. A method of pre-distorting a predetermined data sequence to compensate for the impulse response of a channel over which the predetermined data sequence is to  
15 be transmitted comprising the steps of;

transmitting the predetermined data sequence without precoding over the channel using a first transmitter,

receiving the predetermined data sequence using a first receiver and equalising the received signal, using  
20 an algorithm that is constrained to optimise the equaliser specifically for the predetermined sequence, to enable the data sequence to be decoded;

determining the equaliser coefficients required to enable the equaliser to equalise the received data  
25 sequence,

applying the determined equaliser coefficients to a second transmitter;

transmitting the equaliser coefficients to a second receiver using the second transmitter,

30 receiving the equaliser coefficients at the second receiver;

forming the predistorted predetermined data sequence and storing it in memory; and

subsequently transmitting the stored predistorted predetermined data sequence so that it is received at the first receiver in a form suitable for decoding without equalisation at the first receiver.

5           13. A method as claimed in Claim 11 or Claim 12 in which the algorithm is the recursive least square algorithm.

14. A method as claimed in Claim 13 in which the initial state of the correlation matrix  $P_o$  is set to  
10        $P_o = \delta I$ , where  $\delta > 0.1\sigma^2$  and  $\sigma^2$  is the variance of the data samples, and where  $I$  is the identity matrix.

15. A method as claimed in Claim 13 or Claim 14 in which the number of iterations is restricted to be not substantially greater than the length of the equaliser.

15           16. A method as claimed in any of Claims 11 to 15 in which the length of the equaliser is equal to the length of the predetermined data sequence.

17. A method as claimed in any of Claims 11 to 16 for use in a system as claimed in any of Claims 1 to 10 in  
20       which the predetermined sequence is a contention word.

18. A method as claimed in Claim 17 in which the first receiver and second transmitter are located in the access point and the second receiver and first transmitter are located in a subscriber unit wherein in order to get  
25       up the precoder to precode the contention word the following steps are implemented;

the access point is arranged to transmit a data field comprising a subscriber unit identifier, a test contention request control code, a contention word to be returned by the subscriber unit, and a test contention delay which  
5 indicates a reserved time slot during which the subscriber unit should transmit the test contention word,

the subscriber unit is arranged to receive and decode the transmitted data field and to transmit the received contention word without precoding to the access point in  
10 the reserved time slot,

the access point is arranged to receive the contention word, to train a linear equaliser using the received contention word, and to determine the equaliser tap coefficients,

15 the access point is arranged to transmit to the subscriber unit a data field comprising the subscriber unit identifier, the determined equaliser tap coefficients, and a flag indicating that the test contention word has been successfully decoded, and

20 the subscriber unit is arranged to load the received tap coefficients into a linear precoder to predistort the contention word on subsequent transmission of the contention word to the access point.

19. A method as claimed in Claim 15 in which the  
25 first receiver and second transmitter are located in the access point and the second receiver and first transmitter are located in a subscriber unit wherein in order to set up the precoder to precode the contention word the following steps are implemented;

30 the access point is arranged to transmit a data field comprising a subscriber unit identifier, a test contention request control code, a contention word to be returned by the subscriber unit, and a test contention delay which

indicates a reserved time slot during which the subscriber unit should transmit the test contention word,

the subscriber unit is arranged to receive and decode the transmitted data field and to transmit the received  
5 contention word without precoding to the access point in the reserved time slot,

the access point is arranged to receive the contention word, to train a linear equaliser using the received contention word, and to determine the equaliser  
10 tap coefficients,

the access point is arranged to transmit to the subscriber unit a data field comprising the subscriber unit identifier, the determined equaliser tap coefficients, and a flag indicating that the test  
15 contention word has been successfully decoded, and

the subscriber unit is arranged to calculate and store a predistorted version of the contention word for subsequent transmission of the contention word to the access point.

20 20. A method of pre-distorting a predetermined data sequence to compensate for the impulse response of a channel over which the predetermined data sequence is to be transmitted, the method being substantially as described herein with reference to the accompanying  
25 drawings.

21. An access point for use in a system as claimed in any of Claims 1 to 10, the access point comprising a linear equaliser for equalising a predetermined data sequence received over a transmission channel, wherein the  
30 equaliser is optimised specifically to equalise the predetermined data sequence.

22. An access point as claimed in Claim 21 in which the equaliser is a linear finite impulse response filter.

23. An access point as claimed in Claim 21 or Claim 22 in which the predetermined data sequence is a contention word.

24. An access point as claimed in any of Claims 21 to 23 in which the equaliser is optimised using the recursive least squares algorithm.

25. An access point as claimed in Claim 24 wherein the initial state of the signal correlation matrix  $P_0$  is set to  $P_0 = \delta I$ , where  $\delta > 0.1\sigma^2$  and  $\sigma^2$  is the variance of the data samples, and where  $I$  is the identity matrix.

26. An access point as claimed in Claim 24 or Claim 25 in which the number of iterations is restricted to be not substantially greater than the length of the equaliser.

27. An access point as claimed in any of Claims 21 to 26 in which the length of the equaliser is equal to the length of the predetermined data sequence.

28. An access point as claimed in any of Claims 21 to 27 comprising a control unit for implementing the algorithm used to train the equaliser and determining the equaliser tap coefficients and a transmitter for transmitting the determined equaliser tap coefficients to the particular subscriber unit that transmitted the predetermined data sequence to the access point.

29. An access point as claimed in any of Claims 21 to 28 in which the predetermined data sequence is a contention word, wherein the processor is arranged to allocate a contention word to be transmitted by a subscriber unit, to cause the allocated contention word to be transmitted to a selected subscriber unit together with an instruction to the subscriber unit to transmit the allocated contention word without predistortion at a given time, and to train the equaliser using the received contention word at the given time.

30. An access point substantially as described herein with reference to the accompanying drawings.

31. A subscriber unit for use in a system as claimed in any of Claims 1 to 10, the subscriber unit comprising a transmitter for transmitting a predetermined data sequence over a transmission channel and a precoder for predistorting the predetermined data sequence to compensate for the impulse response of the transmission channel, wherein the precoder is optimised specifically to predistort the predetermined data sequence.

32. A subscriber unit as claimed in Claim 31 in which the precoder is a linear finite impulse response filter.

33. A subscriber unit as claimed in Claim 31 or 32 in which the precoder comprises a memory in which a predistorted version of the predetermined data sequence is stored.

34. A subscriber unit as claimed in any of Claims 31 to 33 in which the predetermined data sequence is a contention word.



35. A subscriber unit as claimed in any of Claims 31 to 34 comprising a receiver for receiving data transmissions from an access point, a decoder for decoding the received data transmissions, a control unit for  
5 interpreting the data transmissions from the access point and controlling the response thereto of the subscriber unit, and a transmitter for transmitting data sequences to the access point; wherein the control unit is arranged to cause the subscriber unit to transmit a received  
10 contention word to the access point in response to an instruction received from the access point without precoding at a time specified by the access point and to apply precoding to the contention word on transmissions of the contention word subsequent to receiving precoder tap  
15 coefficients from the access point.

36. A subscriber unit substantially as described herein with reference to the accompanying drawings.



INVESTOR IN PEOPLE

Application No: GB 0201738.2  
Claims searched: 1-36

Examiner: Adam Tucker  
Date of search: 6 May 2003

## Patents Act 1977 : Search Report under Section 17

### Documents considered to be relevant:

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
X, E	1, 2, 4-11, 13-18, 21-29, 31, 32, 34, 35	GB 2378103 A (Cambridge Broadband) See whole document
X, E	1, 4, 9, 10, 31, 34	GB 2373420 A (Cambridge Broadband) See in particular page 1 lines 9-19, page 2 lines 4-19, page 4 lines 1-7, page 5 lines 8-28, page 6 lines 23-29, page 14 line 19-page 15 line 23
A	-	GB 2347054 A (Adaptive Broadband) See whole document
A	-	IEEE Transactions on Consumer Electronics, Vol 45, Issue 4, pp 1169-1175, November 1999, Sellars et al., "Stabilized precoder with antenna diversity for wireless LANs"

### Categories:

X Document indicating lack of novelty or inventive step	A Document indicating technological background and/or state of the art
Y Document indicating lack of inventive step if combined with one or more other documents of same category.	P Document published on or after the declared priority date but before the filing date of this invention.
& Member of the same patent family	E Patent document published on or after, but with priority date earlier than, the filing date of this application.

### Field of Search:

Search of GB, EP, WO & US patent documents classified in the following areas of the UKC<sup>v</sup>:

H4P

Worldwide search of patent documents classified in the following areas of the IPC<sup>v</sup>:

H04L

The following online and other databases have been used in the preparation of this search report:

WPI, EPODOC, PAJ, INSPEC and selected internet sites